IFU



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Karen M. Cheves et al.

Confirmation No.: 5288

Serial No.:

10/828,699

Examiner: Unknown

Filing Date:

April 21, 2004

Group Art Unit: 3763

Docket No.:

1001.1705101

Customer No.: 28075

For:

TRACTION CUTTING BALLOON

TRANSMITTAL SHEET

Mail Stop Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States

Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this 21st day of October 2004.

David M. Crompton

We are transmitting herewith the attached:

[]	Ameno	dment		
	[]	No additional	claim fee	e required

[ ] The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
:	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=======================================		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 44=	\$	X 88 =	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM			+ 150 =	\$	+ 300 =	\$	
TOTAL			\$		\$		

[ ]	A check in the amount of \$\frac{1}{2} is enclosed. Itemization:
	Fee Code \$ Fee Code \$ Fee Code \$
	Fee Code \$
	Fee Code <u>\$</u>
[]	Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.
[XX]	Other: INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND
[222]	TWO CITED NON-U.S. PATENT/PUBLICATION REFERENCES.
[XX]	Return Receipt Postcard (MPEP 503).
[XXXX]	Please charge any deficiencies or credit any overpayment in the enclosed fees to
[AAAA]	Deposit Account No. 50-0413.
	Deposit Account No. 50-0415.
	By: Sart M Cump M
	David M. Crompton, Reg. No. B6.772

David M. Crompton CROMPTON, SEAGER & TUFTE, LLC 1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2420 Telephone: (612) 677-9050

Facsimile: (612) 359-9349





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Karen M. Cheves et al.

Confirmation No.: 5288

Serial No.:

10/828,699

Examiner: Unknown

Filing Date:

April 21, 2004

Group Art Unit: 3763

Docket No.:

1001.1705101

Customer No.: 28075

For:

TRACTION CUTTING BALLOON

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States

Postal Service on the date shown below with sufficient postage as first class man in an envelope addressed to:

Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

on this 21 solery of October 2004.

David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Karen M. Cheves et al.

By their Attorney

Date:

David M. Crompton, Reg. No. 36,7/2

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2420

Telephone: (612) 677-9050 Facsimile: (612) 359-9349 OT 25 2004

	FORM PTO-1449	Atty. Docket No.: 1001.1705101	Serial No.: 10/828,699	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Karen M. Cheves et al.  Confirmation No.: 5388			
	Commination No., 3366	ommination ivo 5500		
		Filing Date: April 21, 2004	Group Art: 3763	

U.S. PATENT DOCUMENTS Filing Date Examiner Document No. Date Name If Appropriate Initial Tsukashima et al. 5,350,361 09/27/1994 AA 5,425,712 06/20/1995 Goodin AΒ 10/10/1995 Campbell et al. 5,456,666 AC Campbell et al. 5,458,572 10/14/1995 AD Campbell et al. 5,478,319 12/26/1995 AE AF 5,649,941 07/22/1997 Lary 04/28/1998 Sirhan et al. 5,743,875 AG 06/02/1998 Barbere 5,759,191 AH 09/04/2001 Traxler et al. 6,283,743 ΑI FOREIGN PATENT DOCUMENTS Translation Country Document No. Date Yes No EP 0 792 656 A1 09/03/1997 Europe AJ OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Lary, Banning G., "A Method to Create and Correct Stenosis of a Coronary ΑK Artery," Archives of Surgery, November 1966, Vol. 93, pp. 828-830.

EXAMINER:	DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.